



#7

PETITION TO CORRECT INVENTORSHIP UNDER RULE 1.48(a)

Re: Rotation Support for Heat Dissipation Fan  
App. No. 10/074,917, filed February 14, 2002

I hereby request that the inventorship on the above application be changed to reflect KUAN KUAN SUNG and EDWARD CHENG, husband and wife, as joint inventors. Kuan Kuan Sung originally filed the above application as sole inventor. After extensively interviewing her husband, Mr. Cheng, I believe that he contributed materially to the development of the above invention. Therefore, I request that the inventorship be corrected to reflect Kuan Kuan Sung and Edward Cheng as joint inventors.

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11/06/2003 H8UTEMA1 00000018 10074917

02 FC:1460

130.00 DP

NOV 13 2003

TECHNOLOGY CENTER R3700

DAVID PETER ALAN, ESQ.  
No. 30,905

STATEMENT BY INVENTOR SEEKING TO BE ADDED

EDWARD CHENG, hereby certifies and says:

I assisted my wife, KUAN KUAN SUNG, during the development of the above invention and its reduction to practice. My wife filed the original application as sole inventor, with my permission. I wanted her to have credit for the invention. Since I have met with a patent attorney, he has shown me that I contributed enough to the invention that I should receive credit, also. There was no deceptive intent when I suggested to my wife that she apply as sole inventor; I was only being modest. I request that I be added as a joint inventor, along with her.

Dated: October 31, 2003

  
EDWARD CHENG



#70

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration  
Submitted  
With Initial  
Filing

OR

☒ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

First Named Inventor

Kuan Kuan Sung

COMPLETE IF KNOWN

Application Number

10/074,917

Filing Date

February 14, 2002

Art Unit

3746

Examiner Name

Michael Koczko, Jr.

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ROTATION SUPPORT FOR HEAT-DISSIPATION FAN

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(Title of the Invention)

NOV 13 2003

the specification of which

☒ is attached hereto (substitute specification

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OR

☒ was filed on (MM/DD/YYYY)

2-14-02

as United States Application Number or PCT International

Application Number

10/074,917

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/01 (08-03)

Approved for use through 07/31/2008. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION — Utility or Design Patent Application**Direct all correspondence to: ☐ Customer Number:  OR ☒ Correspondence address belowName  
DAVID PETER ALAN, ESQ.Address  
81 Second St.City  
South Orange  
State  
New Jersey  
ZIP  
07079Country  
USA  
Telephone  
(973) 762-6543  
Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR:**☐ A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any])  
KUAN KUAN SUNG (full name)Family Name  
or SurnameInventor's  
Signature

Date

Oct. 31, 2003

Residence: City  
Surrey  
State  
B.C.  
Country  
Canada  
Citizenship  
CanadianMailing Address  
10788 165th St.City  
Surrey  
State  
B.C.  
ZIP  
V4N-3M1  
Country  
Canada**NAME OF SECOND INVENTOR:**☐ A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any])  
EDWARDFamily Name  
or Surname  
CHENGInventor's  
Signature

Date

10-31-03

Residence: City  
Surrey  
State  
B.C.  
Country  
Canada  
Citizenship  
CanadianMailing Address  
This address correct: 10788 165th St.City  
Surrey  
State  
B.C.  
ZIP  
V4N-3M1  
Country  
Canada☐ Additional inventors or a legal representative are being named on the supplemental sheets) PTO/SB/02A or 02LR attached hereto.



DAVID PETER ALAN  
Attorney At Law  
81 SECOND STREET  
SOUTH ORANGE, N.J. 07079  
(973) 762-6543

3746  
\$

ADMITTED IN  
NEW JERSEY & NEW YORK

REGISTERED PATENT ATTORNEY

October 31, 2003

Commissioner for Patents  
U.S. Patent & Trademark Office  
Box 1450  
Alexandria, Va. 22313

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TECHNOLOGY CENTER R3700

Re: ROTATION SUPPORT FOR HEAT-DISSIPATION FAN  
App. No. 10/074,917, filed February 14, 2002  
Art Unit 3746, Examiner: Michael Koczo, Jr.

Dear Patent Office:

I have been retained by Ms. Kuan Kuan Sung and Mr. Edward Cheng to represent them concerning the above-entitled invention. The original application was filed by Kuan Kuan Sung, and Mr. Cheng, her husband, now wishes to also be listed as a joint inventor. A petition to correct inventorship is enclosed.

The following papers are enclosed:

- New Declaration (2 pages)
- Power of Attorney (1 page)
- Petition for Extension of Time under Rule 1.136(a) (1 page)
- Petition to Correct Inventorship under Rule 1.48(a) (1 page)
- Substitute specification (clean copy) (5 pages)
- Substitute specification (marked-up copy with changes) (7 pages)
- Claims (2 pages)
- Drawings (5 sheets)
- Certificate of Mailing (1 page)
- Payment of fee of \$605.00. This consists of \$475.00 for response during third month after due date (applicants claim small entity status) and \$130.00 for petition to correct inventorship.

The Substitute Specification herein was amended from the original application, filed February 14, 2002, and not from any papers filed by the applicant pro se in response to any Office Action. I have made a best professional effort to refrain from entering any new matter, and I believe that there has been no new matter entered in the Substitute specification.

Yours very sincerely,

DAVID PETER ALAN  
No. 30,905

encls. as stated  
cc: Kuan Kuan Sung  
Edward Cheng



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PTO/SB/81 (09-03)

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

# **POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM**

Application Number	10/074,917
Filing Date	February 14, 2002
First Named Inventor	Kuan Kuan Sung
Title	Rotation Support for
Art Unit	3746
Examiner Name	Michael Koczko, Jr.
Attorney Docket Number	*Heat-Dissipation Fan

I hereby appoint:

☐ Practitioners associated with the Customer Number:

OR

☒ Practitioner(s) named below:

Name	Registration Number
DAVID PETER ALAN	30,905

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☒ Firm or Individual Name **DAVID PETER ALAN, ESQ.**

Address

81 Second St.

Address

City

South Orange

State

N.J.

Zip

07079

Country

USA

Telephone

(973) 762-6543

Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## SIGNATURE of Applicant or Assignee of Record

Name	KUAN KUAN SUNG	EDWARD CHENG
Signature	<i>[Signature]</i>	<i>[Signature]</i>
Date	October 30, 2003	Telephone (973) 727-8707

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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